

participants.

Yes. The State imposes a premium, enrollment fee or similar cost-sharing arrangement.

Describe in detail the cost sharing arrangement, including: (a) the type of cost sharing (e.g., premium, enrollment fee); (b) the amount of charge and how the amount of the charge is related to total gross family income; (c) the groups of participants subject to cost-sharing and the groups who are excluded; and, (d) the mechanisms for the collection of cost-sharing and reporting the amount collected on the CMS 64:

Appendix J: Cost Neutrality Demonstration

J-1: Composite Overview and Demonstration of Cost-Neutrality Formula

Composite Overview. Complete the fields in Cols. 3, 5 and 6 in the following table for each waiver year. The fields in Cols. 4, 7 and 8 are auto-calculated based on entries in Cols 3, 5, and 6. The fields in Col. 2 are auto-calculated using the Factor D data from the J-2d Estimate of Factor D tables. Col. 2 fields will be populated ONLY when the Estimate of Factor D tables in J-2d have been completed.

Level(s) of Care: ICF/MR

Col. 1	Col. 2	Col. 3	Col. 4	Col. 5	Col. 6	Col. 7	Col. 8
Year	Factor D	Factor D'	Total: D+D'	Factor G	Factor G'	Total: G+G'	Difference (Col 7 less Column4)
1	44269.44	6919.54	51188.98	131209.64	5106.74	136316.38	85127.40
2	45854.16	7127.13	52981.29	135145.93	5259.94	140405.87	87424.58
3	47233.68	7340.94	54574.62	139200.31	5417.74	144618.05	90043.43

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (1 of 7)

- a. **Number Of Unduplicated Participants Served.** Enter the total number of unduplicated participants from Item B-3-a who will be served each year that the waiver is in operation. When the waiver serves individuals under more than one level of care, specify the number of unduplicated participants for each level of care:

Table: J-2-a: Unduplicated Participants

Waiver Year	Total Number Unduplicated Number of Participants (from Item B-3-a)	Distribution of Unduplicated Participants by Level of Care (if applicable)	
		Level of Care:	
		ICF/MR	
Year 1	55	55	
Year 2	55	55	
Year 3	55	55	

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (2 of 7)

- b. **Average Length of Stay.** Describe the basis of the estimate of the average length of stay on the waiver by participants in item J-2-a.

It is difficult to predict the average enrollment span for the children served in this waiver. DDP has funded services to children with developmental disabilities in the same age range as those children to be served in the Children's Autism Waiver (age range of one through seven), but the Children's Autism Waiver is different in several key respects from children's services reimbursed under the DD comprehensive services waiver. These differences are summarized as follows:

1. The intensity of the training effort for children served in the Autism Children's Waiver is much greater than for children served in the other DD waiver for children. Much of the autism training would occur in the parent's home. It is difficult to predict if all parents with children served in this waiver will be able to commit to the rigor of opening their homes to service provider staff for 20 or more hours per week, for a three year period. Some parents may feel initially agreeable with the loss of privacy, but may change their minds as time goes on.
2. The parent's role in working with their child with ASD is likely to be more labor intensive than in traditional DDP waiver children's services. The time and effort needed to follow through with the plan of care and treatment plan may result in some families volunteering to terminate autism waiver services prior to the end of the three year enrollment period.
3. It is possible that some children may experience significant gains in adaptive behavior functioning, to the extent that continued autism waiver services may not be needed in the opinion of the children's parents and the respective planning teams.

The average enrollment span is initially projected to be 335 days, based on the above considerations. No child will age out of the Waiver during the initial three year waiver approval period. Rather, enrollment of new children will be based on attrition. DDP projects that 8% to 10% of the enrolled children and their families may elect to voluntarily terminate services, based on reasons outlined in #1- #3, above. This waiver enrollment span projection is consistent with waiver enrollment span projections for Montana's Comprehensive Services DD Waiver.

The enrollment schedule for the placement of children in Waiver Year 1 is unclear at this time, although DDP projects to have all children placed on or before 6/30/09. Utilization and waiver span projections for year one are based on all children being enrolled on or before 1/1/09. This projection, if approved by CMS, will ensure that DDP has the CMS authority needed to fully serve all 55 children in Year 1, irrespective of the actual schedule for initially enrolling children. This will preclude the need for a waiver amendment request for the purpose of seeking CMS approval for additional funding authority in Waiver Year 1.

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (3 of 7)

- c. Derivation of Estimates for Each Factor.** Provide a narrative description for the derivation of the estimates of the following factors.

- i. Factor D Derivation.** The estimates of Factor D for each waiver year are located in Item J-2-d. The basis for these estimates is as follows:

The Factor D projection is based on the service utilization as agreed upon by the Autism Waiver Workgroup. The rates (unit costs) were developed in accordance with the rates methodology process used to develop the costs for the Comprehensive Services DD Waiver.

- ii. Factor D' Derivation.** The estimates of Factor D' for each waiver year are included in Item J-1. The basis of these estimates is as follows:

The Factor D' projections are the same as used in the FY 09 projections for the Comprehensive Services DD Waiver, effective 7/1/08.

- iii. Factor G Derivation.** The estimates of Factor G for each waiver year are included in Item J-1. The basis of these estimates is as follows:

The Factor G projections are the same as used in the FY 09 Comprehensive Services DD Waiver Renewal, effective 7/1/08.

- iv. Factor G' Derivation.** The estimates of Factor G' for each waiver year are included in Item J-1. The basis of

these estimates is as follows:

The Factor G' projections are the same as used in the FY 09 Comprehensive Services DD Waiver Renewal, effective 7/1/08.

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (4 of 7)

Component management for waiver services. If the service(s) below includes two or more discrete services that are reimbursed separately, or is a bundled service, each component of the service must be listed. Select "manage components" to add these components.

Waiver Services
Speech Therapy
Adaptive Equipment/Environmental Modifications
Physical Therapy
Program Design and Monitoring
Transportation
Waiver Funded Children's Case Management (WCCM)
Children's Autism Training
Respite
Occupational Therapy
Individual Goods and Services

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (5 of 7)

d. Estimate of Factor D.

i. Non-Concurrent Waiver. Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

Waiver Year: Year 1

Waiver Service/ Component	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Speech Therapy Total:						3427.20
Speech Therapy	hour	5	12.00	57.12	3427.20	
Adaptive Equipment/Environmental Modifications Total:						23576.70
Adaptive Equipment/Environmental Modifications	one mod or item	10	1.00	2357.67	23576.70	
Physical Therapy Total:						3335.40
Physical Therapy	hour	5	12.00	55.59	3335.40	
Program Design and						

Monitoring Total:						529786.40
Program Design and Monitoring	hour	55	208.00	46.31	529786.40	
Transportation Total:						4200.00
Transportation	mile	20	600.00	0.35	4200.00	
Waiver Funded Children's Case Management (WCCM) Total:						173760.84
Waiver Funded Children's Case Management (WCCM)	hour	55	79.20	39.89	173760.84	
Children's Autism Training Total:						1471470.00
Children's Autism Training	hour	55	1300.00	20.58	1471470.00	
Respite Total:						208260.00
Respite	hour	30	520.00	13.35	208260.00	
Occupational Therapy Total:						3487.80
Occupational Therapy	hour	5	12.00	58.13	3487.80	
Individual Goods and Services Total:						13515.04
Individual Goods and Services	year	28	1.00	482.68	13515.04	
GRAND TOTAL:						2434819.38
Total Estimated Unduplicated Participants:						55
Factor D (Divide total by number of participants):						44269.44
Average Length of Stay on the Waiver:						335

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (6 of 7)

d. Estimate of Factor D.

i. Non-Concurrent Waiver. Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

Waiver Year: Year 2

Waiver Service/ Component	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Speech Therapy Total:						3529.80
Speech Therapy	hour	5	12.00	58.83	3529.80	
Adaptive Equipment/Environmental Modifications Total:						24284.00
Adaptive Equipment/Environmental Modifications	one mod or item	10	1.00	2428.40	24284.00	

Physical Therapy Total:						3435.60
Physical Therapy	hour	5	12.00	57.26	3435.60	
Program Design and Monitoring Total:						545688.00
Program Design and Monitoring	hour	55	208.00	47.70	545688.00	
Transportation Total:						4320.00
Transportation	mile	20	600.00	0.36	4320.00	
Waiver Funded Children's Case Management (WCCM) Total:						178988.04
Waiver Funded Children's Case Management (WCCM)	hour	55	79.20	41.09	178988.04	
Children's Autism Training Total:						1515800.00
Children's Autism Training	hour	55	1300.00	21.20	1515800.00	
Respite Total:						214500.00
Respite	hour	30	520.00	13.75	214500.00	
Occupational Therapy Total:						3592.20
Occupational Therapy	hour	5	12.00	59.87	3592.20	
Individual Goods and Services Total:						27840.96
Individual Goods and Services	year	28	1.00	994.32	27840.96	
GRAND TOTAL:						2521978.60
Total Estimated Unduplicated Participants:						55
Factor D (Divide total by number of participants):						45854.16
Average Length of Stay on the Waiver:						335

Appendix J: Cost Neutrality Demonstration

J-2: Derivation of Estimates (7 of 7)

d. Estimate of Factor D.

i. Non-Concurrent Waiver. Complete the following table for each waiver year. Enter data into the Unit, # Users, Avg. Units Per User, and Avg. Cost/Unit fields for all the Waiver Service/Component items. Select Save and Calculate to automatically calculate and populate the Component Costs and Total Costs fields. All fields in this table must be completed in order to populate the Factor D fields in the J-1 Composite Overview table.

Waiver Year: Year 3

Waiver Service/ Component	Unit	# Users	Avg. Units Per User	Avg. Cost/ Unit	Component Cost	Total Cost
Speech Therapy Total:						3635.40
Speech Therapy	hour	5	12.00	60.59	3635.40	
Adaptive Equipment/Environmental						

Modifications Total:						25012.50
Adaptive Equipment/Environmental Modifications	one mod or item	10	1.00	2501.25	25012.50	
Physical Therapy Total:						3538.80
Physical Therapy	hour	5	12.00	58.98	3538.80	
Program Design and Monitoring Total:						562047.20
Program Design and Monitoring	hour	55	208.00	49.13	562047.20	
Transportation Total:						4440.00
Transportation	mile	20	600.00	0.37	4440.00	
Waiver Funded Children's Case Management (WCCM) Total:						184345.92
Waiver Funded Children's Case Management (WCCM)	hour	55	79.20	42.32	184345.92	
Children's Autism Training Total:						1561560.00
Children's Autism Training	hour	55	1300.00	21.84	1561560.00	
Respite Total:						220896.00
Respite	hour	30	520.00	14.16	220896.00	
Occupational Therapy Total:						3700.20
Occupational Therapy	hour	5	12.00	61.67	3700.20	
Individual Goods and Services Total:						28676.20
Individual Goods and Services	year	28	1.00	1024.15	28676.20	
GRAND TOTAL:						2597852.22
Total Estimated Unduplicated Participants:						55
Factor D (Divide total by number of participants):						47233.68
Average Length of Stay on the Waiver:						335